

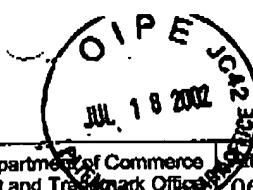
Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 06618-736001	Application No. Unknown
Information Disclosure Statement by Applicant (Use several sheets if necessary)		10/07, 630 Ming Cai and Kerry J. Vahala	
(37 CFR §1.88(b))		Filing Date Herewith	Group Art Unit
			10/07, 630 11/09/81

U.S. Patent Documents							
Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA						
	AB						
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	AK						

Foreign Patent Documents or Published Foreign Patent Applications							
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
	AL						
	AM						
	AN						
	AO						
	AP						

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AQ	Knight, et al., "Optics Letters", Vol. 22 pp.1129-1131 (1997)
	AR	Cai et al., "Fiber-Optic Add-Drop Device Based on A Silica Microsphere-Whispering Gallery Mode System", IEEE Photon. Technol. Lett., Vol. 11(6), pp. 686-687 (1999)
	AS	
	AT	

Examiner Signature <i>Ming Cai</i>	Date Considered 3-7-03
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	



Sheet 1 of 1

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office <i>TRADEMA</i>	Attorney's Docket No. 06618-736001	Application No. 10/007,630
Information Disclosure Statement by Applicant (Use several sheets if necessary)		Applicant Ming Cai et al.	COPY OF PAPERS ORIGINALLY FILED
		Filing Date November 9, 2001	Group Art Unit 2873
(37 CFR §1.98(b))			

U.S. Patent Documents

Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
MM	AA	6 275 627	Aug 14, 2001	Wu			
MM	AB	5 295 211	Mar 15, 1994	Weidman			
MM	AC	5 074 633	Dec 24, 1991	Cohen et al.			
	AD						
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Foreign Patent Documents or Published Foreign Patent Applications

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							Yes	No
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Examiner Initial	Desig. ID	Document	Date Considered	RCV'D
	AQ			3/13/2003
	AR			2003
	AS			2003
	AT			2003

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Sheet 1 of 1

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Foreign Patent Documents or Published Foreign Patent Applications							
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation Yes No
	AL						
	AM						

Other Documents (Include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
MHC	AN	Ming Cai et al., "Fiber-Optic Add-Drop Device Based on a Silica Microsphere-Whispering Gallery Mode System", IEEE Photon. Technol. Lett., vol. 11, No. 6, pp 686-687, June, 1999.
MHC	AO	M. Cai et al., "Fiber-coupled microsphere laser", Optics Letters, Vol. 25, No. 19, pp 1430-1432, October 1, 2000.
MHC	AP	M. L. Gorodetsky et al., "Ultimate Q of optical microsphere resonators", Optics Letters, Vol. 21, No. 7, pp 453-455, April 1, 1996.
MHC	AQ	J. C. Knight et al., "Phase-matched excitation of whispering-gallery-mode resonances by a fiber taper", Optics Letters, vol. 22, pp. 1129-1131, 1997.
MHC	AR	V. Sandoghdar et al., "Very low threshold whispering-gallery-mode microsphere laser", Physics Review A, Vol. 54, No. 3, pp R1777-R1780, September 1996.

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Substitute Disclosure Form (PTO-1449)

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